PAGE: 001 OF 011

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INTELLECTUAL PROPERTY LAW INCLUDING PATENTS, TRADEMARKS AND COPYRIGHTS

TELEPHONE: (818) 885-1575 FACSIMILE: (818) 885-5750

AMENDMENT

TO:

USPTO/ Examiner M. Heneghan

FAX:

(703) 872-9306

TEL:

(703) 305-7727

FROM:

Edmond DeFrank

DATE:

July 14, 2004

RE:

AMENDMENT UNDER 37 CFR 1.116

Serial No. 09/615,772

Our Docket No. AUS9-2000-0323-US1

Entitled: A SYSTEM AND METHOD FOR ALERTING

COMPUTERUSERS OF DIGITAL SECURITY INTRUSIONS

Filed: July 13, 2000

By: Carroll et al.

Pages:

10 (NOT including cover sheet)

Please find attached an amendment under 37 CFR 1.116 for the above matter in response to the Office Action dated June 1, 2004. Also attached is a Transmittal Letter.

Thank you.

Edmond A. DeFrank

Eland a. Heten

LAW OFFICES OF EDMOND A. DEFRANK

Edmond A. DeFrank 20145 Via Medici Northridge, CA 91326

PATENT APPLICATION

ATTORNEY DOCKET NO. AUS9-2000-0323-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Inventor(s): Carroll et al.

Application No.: 09/615,772

Examiner: M. Heneghan

Filling Date: July 13, 2000

Group Art Unit: 2134

Title: A SYSTEM AND METHOD FOR ALERTING COMPUTER USERS OF

DIGITAL SECURITY INTRUSIONS

COMMISSIONER FOR PATENTS Washington, D.C. 20231

TRANSMITTAL LETTER FOR RESPONSE/AMENDMENT

Sir:			
Tran	nsmitted herewith is/are the following in the ab	bove-identified application:	
(X)	Response/Amendment	() Petition to extend time to respon	ond
()	New fee as calculated below	() Supplemental Declaration	
(X)	No additional fee (Address envelope to "B	3ox Non-Fee Amendments")	
()	Other:	(fee \$)
ſ	CLAIMS AS AMENDED E	BY OTHER THAN A SMALL ENTITY	
<u> </u>			_

	(7) ADDITIO		F	∃NT	(5) PRESE EXT	1) NUMBER LY PAID FOR	HIGHEST	(3) NUMBER EXTRA	(2) LAIMS REMAINING FTER AMENDMENT	
\$ (× \$18		0	_	.32		MINUS	32	TOTAL CLAIMS	
\$ (86	6 = 0 × \$86			MINUS	4	INDEP. CLAIMS			
\$ (290) FIRST PRESENTATION OF A MULTIPLE DEPENDENT CLAIM + \$290								[] FIRST P
\$ (1 MOI 450.0			3RD MONTH 00.000 \$930.00		2ND \$41	1ST MONTH \$110.00	EXTENSION FEE
\$	OTHER FEES \$									

Charge \$ 0 to Deposit Account 09-0447. At any time during the pendency of this application, please charge any fees required or credit any overpayment to Deposit Account 09-0447 pursuant to 37 CFR 1.25. Additionally please charge any fees to Deposit Account 09-0447 under 37 CFR 1.16 through 1.21 inclusive, and any other sections in Title 37 of the Code of Federal Regulations that may regulate fees. A duplicate copy of this sheet is enclosed.

I hereby certify that this paper is being transmitted to the Patent and Trademark Office facsimile

number : (703) 872-9306 Number of pages: 10

Date of Transmission: July 14, 2004

Typed Name: Edmond A. DeFrank
Signature: Land 4. Refrance

Respectfully submitted,

Carroll et al.

By Eland a. Refr

Edmond A. DeFrank, July 14, 2004 Attorney/Agent for Applicant(s)

Reg. No. 37,814 TEL: (818) 885-1575

Serial No.: 09/615,772

Attorney Docket No.: AUS9-2000-0323-US1

CERTIFICATE OF TRANSMISSION

I hereby certify that this paper and every paper referred to therein as being transmitted via facsimile to: (703) 872-9306 Commissioner for Patents, Arlington, VA 22202,

July 14, 2004

__ Date of Deposit)

Edmond A. DeFrank

PATENT

Attorney Docket No: AUS9-2000-0323-US1

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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In re the Application of:

Carroll et al.

JUL 1 4 2004

Serial No.:

09/615,772

Group Art Unit: 2134

Filed:

July 13, 2000

Examiner: M. Heneghan

For:

A SYSTEM AND METHOD FOR ALERTING COMPUTER USERS OF

DIGITAL SECURITY INTRUSIONS

AMENDMENT UNDER 37 CFR 1.116

Hon. Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

In response to the final Office Action dated June 1, 2004, it is respectfully requested that this amendment be entered under 37 CFR 1.116 to place the abovereferenced application in condition for allowance, or alternately, in better condition for appeal.

Amendments to the Claims are reflected in the listing of the claims that begins on page 2 of this paper.

Remarks/Arguments begin on page 8 of this paper.